

COPY FROM PARENT

10-04-2002

File Docket No. 407J-000440US

FORM PTO-1595

(Rev. 6-93)

U.S. Department of Commerce  
Patent and Trademark Office

102241514

To the Honorable Asst. Commissioner for Patents

Documents or copy thereof

1. Name of conveying party(ies):

E.I. du Pont de Nemours and Company

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address:

Street Address:

1111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200

Additional names and addresses attached? No

3. Nature of conveyance:

- X Assignment Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

Execution Date: August 28, 2002

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/869,771

B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

QUINE INTELLECTUAL PROPERTY  
LAW GROUP, P.C.  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed X Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*Jonathan Alan Quine  
Name of Person Signing

Signature

Date

Atty Reg. No. 41,261

Total number of pages including cover sheet, attachments and document 2

10. Change Correspondence Address to that of Part 5? X Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

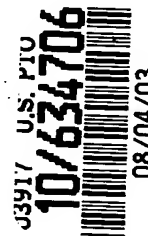
Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

10/04/2002 LHWELLER 00000008 500893 09869771

01 FC:581

40.00 CH



FINANCE SECTION

2002 SEP 30 AM 7:54

OFFICE OF PUBLIC RECORDS

ASSIGNMENT

U.C. Case No. 2003-034-5 US  
Attorney Docket No. 407J-000440US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR,

E.I. du Pont de Nemours and Company of 1007 Market Street, Wilmington, DE 19898, U.S.A.

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: Herbicidal Oxadiazolidines

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 09/869,771, filed on June 29, 2001
- (d) \_\_\_\_\_ U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Signature of ASSIGNOR:  
E.I. du Pont de Nemours and Company

executed this

28<sup>th</sup> day of August, 2002

by Roger A. Bowman  
Roger A. Bowman - Assistant Secretary/Patent Board  
Assignor's signatory and title

COPY FROM PARENT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

bim/

SEP 25 2001

SEPTEMBER 19, 2001

PTAS

E.I. DU PONT DE NEMOURS AND COMPANY  
LINDA D. BIRCH  
LEGAL-PAENTS  
1007 MARKET STREET  
WILMINGTON, DE 19898



\*101778078A\*

RECEIVED

SEP 25 2001

BA-7238 PCT

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT PATENT RECORDS  
CENTER

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/09/2001

REEL/FRAME: 011972/0313  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ANNIS, GARY DAVID

DOC DATE: 05/10/2001

ASSIGNOR:

CHIANG, GEORGE CHIH-SHU

DOC DATE: 05/21/2001

ASSIGNOR:

FORNEY, DAVID RAYMOND

DOC DATE: 05/15/2001

ASSIGNOR:

PATEL, KANU MAGANBHAI

DOC DATE: 05/10/2001

ASSIGNOR:

RORER, MORRIS PADGETT

DOC DATE: 05/11/2001

ASSIGNOR:

SMITH, WILLIAM FRANCIS, III

DOC DATE: 05/20/2001

ASSIGNOR:

STEVENSON, THOMAS MARTIN

DOC DATE: 05/11/2001

# COPY FROM PARENT

011972/0313 PAGE 2

ASSIGNOR:

SUN, KING-MO

DOC DATE: 05/10/2001

ASSIGNOR:

TSENG, CHI-PING

DOC DATE: 05/10/2001

ASSIGNEE:

E.I. DU PONT DE NEMOURS AND  
COMPANY

LEGAL PATENTS

1007 MARKET STREET

WILMINGTON, DELAWARE 19898

SERIAL NUMBER:

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0001283

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

COPY FROM PARENT

07-17-2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Pa.

101778078

.hed original documents or copy thereof

## 1. Submission Type:

- ☒ New  
☐ Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

☐ Correction of PTO Error

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

☐ Corrective Document

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Data Element in Question : \_\_\_\_\_

## 2. Conveyance Type:

- ☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other \_\_\_\_\_

U.S. GOVERNMENT

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret FileTotal No. of pages of conveyance document including  
attachments \_\_\_\_\_

## 4. Name of conveying party(ies):

<sup>1</sup>Annis, Gary David  
<sup>2</sup>Chiang, George Chih-Shu  
<sup>3</sup>Forney, David Raymond  
<sup>4</sup>Patel, Kanu Maganbhai  
<sup>5</sup>Rorer, Morris Padgett  
<sup>6</sup>Smith, William Francis, III

Additional name(s) of conveying party(ies) attached: ☒ Yes ☐ NoExecution Date: <sup>1</sup>5/10/2001 <sup>2</sup>5/21/2001<sup>3</sup>5/15/2001 <sup>4</sup>5/10/2001<sup>5</sup>5/11/2001 <sup>6</sup>5/20/3001

## 5. Name and address of receiving party(ies):

E. I. DU PONT DE NEMOURS AND COMPANY  
LEGAL PATENTS  
1007 MARKET STREET  
WILMINGTON, DELAWARE 19898

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): PCT/US00/01283

B. Patent No.(s):

Docket No.: BA-9238 PCT

Additional numbers attached: ☐ Yes ☒ No

## 6. Correspondent Name &amp; Address:

LINDA D. BIRCH  
E. I. DU PONT DE NEMOURS AND COMPANY  
LEGAL-PATENTS  
1007 MARKET STREET  
WILMINGTON, DELAWARE 19898

7. Total number of applications and patents involved: 1

## 8. Total fee (37 CFR 3.41) ..... \$40.00

☐ Enclosed (Check No.: \_\_\_\_\_)☒ Authorized to be charged to deposit account9. Deposit account number: 04-1928

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.

CAROL REEDER

SENIOR LEGAL CLERK

Signature

Date

Total number of pages comprising cover sheet: 2

07/16/2001 BBYRNE 00000265 041928 PCTUS0001283

01 FC:581 40.00 CH

4. Name of conveying party(ies) (continued):

COPY FROM PARENT

Name:

Execution Date:

<sup>7</sup>Stevenson, Thomas Martin<sup>7</sup>5/11/2001<sup>8</sup>Sun, King-Mo<sup>8</sup>5/10/2001<sup>9</sup>Tseng, Chi-Ping<sup>9</sup>5/10/2001

# ASSIGNMENT

COPY FROM PARENT

We, the undersigned

**ANNIS, Gary David, CHIANG, George Chih-Shu, FORNEY, David Raymond, PATEL, Kanu Maganbhai, RORER, Morris Padgett, SMITH III, William Francis, STEVENSON, Thomas Martin, SUN, King-Mo and TSENG, Chi-Ping**

Hereby declare that

We are the true and first inventors of an invention relating to

## HERBICIDAL OXADIAZOLIDINES

which is disclosed in PCT International Application, **PCT/US00/01283** filed the 20<sup>th</sup> day of **January**, 2000 (Case No. **BA9238PCT**); in which the United States of America is a designated state for Letters Patent; and, for valuable consideration, the receipt and adequacy of which is hereby acknowledged and in fulfillment of our pre-existing obligation of assignment, we hereby sell, assign, and transfer unto **E. I. du Pont de Nemours and Company**, a corporation organized and existing under the laws of the State of Delaware in the United States of America and having its principal place of business at Wilmington, Delaware, hereinafter referred to as the assignee, the entire right, title, and interest in and to the aforesaid application for Letters Patent, including any priority rights derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property for any and all member countries of the aforesaid International Convention, and the entire right, title and interest in and to any and all our inventions, whether joint or sole, disclosed in the aforesaid application for Letters Patent, and in and to any and all applications for Letters Patent for any such inventions in any country whatsoever, and in and to any and all patents for any such inventions in any country whatsoever, with the sole right to file such applications in its name or ours, including the sole right to file such applications under the aforesaid International Convention, together with the sole right to have said patents granted in its name or ours and to enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, and hereby agree, whenever requested, to communicate to said assignee, its successors, assigns, and legal representatives, any facts known to us respecting said inventions, to testify in any legal proceeding, to execute all applications, papers or instruments necessary or required by said assignee, its successors, assigns and legal representatives to carry into effect any of the provisions of this instrument, and generally to do everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain and enforce proper patent protection for said inventions in any and all countries.

Gary David Annis (L.S.)

**ANNIS, Gary David**

DATE: May 10, 2001

David Raymond Forney (L.S.)

**FORNEY, David Raymond**

DATE: May 15, 2001

Morris Padgett Rorer (L.S.)

**RORER, Morris Padgett**

DATE: May 11, 2001

Thomas Martin Stevenson (L.S.)

**STEVENSON, Thomas Martin**

DATE: May 11, 2001

Chi-Ping Tseng (L.S.)

**TSENG, Chi-Ping**

DATE: May 10, 2001

George Chih-Shu Chiang (L.S.)

**CHIANG, George Chih-Shu**

DATE: May 21, 2001

Kanu Maganbhai Patel (L.S.)

**PATEL, Kanu Maganbhai**

DATE: May 10, 2001

William Francis Smith III (L.S.)

**SMITH III, William Francis**

DATE: MAY 28, 2001

King-Mo Sun (L.S.)

**SUN, King-Mo**

DATE: 5/10/2001

May 10, 2001



RECEIVED

JAN 17 2003

JANUARY 10, 2003

PTAS

Quine Intellectual Property Law Group, P.C. Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.gov

QUINE INTELLECTUAL PROPERTY LAW GROUP PC  
P.O. BOX 458  
ALAMEDA, CA 94501

**COPY FROM PARENT**



\*102241514A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/30/2002

REEL/FRAME: 013345/0070  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

E.I. DU PONT DE NEMOURS AND  
COMPANY

DOC DATE: 08/28/2002

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF  
CALIFORNIA, THE  
1111 FRANKLIN STREET  
12TH FLOOR  
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09869771  
PATENT NUMBER:

FILING DATE: 06/29/2001  
ISSUE DATE:

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS